

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

17858 U.S. PTO  
10/620966  
07/15/03

**NEW APPLICATION TRANSMITTAL**

Transmitted herewith for filing is the patent application of

Inventor(s): Donald Parsons, Judith Edwards, Donald McCoy, and James Block  
For (title): Automated Banking Machine Component  
Authentication System and Method

**1. Type of Application**

This transmittal is for an original (nonprovisional) application.

**2. Benefit of Prior U.S. Application(s) (35 U.S.C. 119(e), 120, or 121)**

The new application being transmitted claims the benefit of prior U.S. application(s). Enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S.

---

**CERTIFICATION UNDER 37 C.F.R. SECTIONS 1.8(a) AND 1.10\***  
*(When using Express Mail, the Express Mail label number is mandatory;  
 Express Mail certification is optional.)*

I hereby certify that, on the date shown below, this correspondence is being:

**MAILING**

deposited with the United States Postal Service in an envelope addressed to the Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  
**37 C.F.R. Section 1.8(a)**

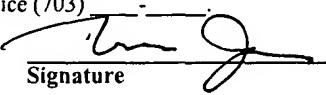
**37 C.F.R. Section 1.10\***

with sufficient postage as first class mail.  as "Express Mail Post Office to Address"  
 Mailing Label No. EV 246920249 US  
 (mandatory)

**TRANSMISSION**

transmitted by facsimile to the Patent and Trademark Office (703)

Date: 7/15/2003



Signature

Ralph E. Jocke

(type or print name of person certifying)

**\*WARNING:** *Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. Section 1.10(b).*  
*"Since the filing of correspondence under [Section] 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.*

**APPLICATION(S) CLAIMED.**

**3. Papers Enclosed**

A. Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design)  
Application

62 Pages of Specification  
20 Pages of Claims  
8 Sheets of Drawing(s)

B. Other Papers Enclosed

3 Pages of declaration and power of attorney  
1 Page of abstract  
6 Pages of Application Data Sheet  
1 Pages of Non-Publication Request  
3 Pages of Assignment and Cover Sheet

**4. Declaration or Oath**

Enclosed

Executed by:  
\* inventors

**5. Inventorship Statement**

The inventorship for all the claims in this application is the same.

**6. Language**

English

**7. Assignment**

An assignment of the invention to Diebold, Incorporated is attached. A separate FORM PTO 1595 is also attached.

#### **8. Fee Calculation (37 C.F.R. Section 1.16)**

## Regular Application

CLAIMS AS FILED						
Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$750.00	
Total Claims (37 CFR 1.16(c))	64	- 20 =	44 x	\$18.00	\$792.00	
Independent Claims (37 CFR 1.16(b))	4	- 3 =	1 x	\$84.00	\$84.00	
Multiple Dependent Claim(s), if any (37 CFR 1.16(d))			+ \$280.00		\$0.00	
Filing Fee Calculation					\$1626.00	

**9. Fee Payment Being Made at This Time**

Enclosed  
Filing Fee \$1626.00

Recording assignment (\$40; 37 C.F.R. Section 1.21(h)) (See attached "COVER SHEET FOR ASSIGNMENT ACCOMPANYING NEW APPLICATION".) \$ 120.00

**Total Fees Enclosed** \$1746.00

## **10. Method of Payment of Fees**

Charge Account No. 09-0428 (InterBold) in the amount of \$1746.00.  
A duplicate of this transmittal is attached.

#### **11. Authorization to Charge Additional Fees**

The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 09-0428 (InterBold).

37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)

37 C.F.R. Section 1.16(b), (c) or (d) (presentation of extra claims)

37 C.F.R. Section 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to SECTION 1.136(a))

37 C.F.R. Section 1.17 (application processing fees)

**12. Instructions as to Overpayment**

Credit Account No. 09-0428 (InterBold).

**ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF  
PRIOR U.S. APPLICATION CLAIMED**

**13. Relate Back**

**A. 35 U.S.C. Section 119(e)**

This application claims the benefit of U.S. Provisional Application No.:

<b>APPLICATION NO.</b>	<b>FILING DATE</b>
60/396,608	07/16/2002
60/436,883	12/26/2002



Ralph E. Jocke  
Registration No. 31,029  
231 South Broadway  
Medina, Ohio 44256  
Phone: 330-721-0000  
Fax: 330-722-6446  
Customer No. 28995

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: **Donald Parsons, et al.**)  
Serial No.: **(unknown)**)  
Filed: **Herewith**)  
For: **Application Banking Machine Component**)  
**Authentication System and Method**)

**Non-publication Request Pursuant to 37 C.F.R. § 1.213**

**Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

Sir:

THE ABOVE REFERENCED APPLICATION FILED HEREWITH IS NOT TO BE  
PUBLISHED UNDER 35 U.S.C. § 122(b).

It is hereby certified that the invention disclosed in the above-referenced application has not been and (unless this non-publication request is first rescinded in accordance with 37 C.F.R. § 1.213(b)) will not be the subject of an application filed in another country, or filed under a multilateral agreement, that requires publication of the application at eighteen (18) months after filing.

Respectfully submitted,

  
Ralph E. Jockey Reg. No. 31,029  
231 South Broadway  
Medina, Ohio 44256  
(330) 722-5143  
Customer No. 28995